

Sector 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenhua Lin

Serial No.: 09/872,473

Filed: June 1, 2001



Group No.: 2874

Examiner: Unknown

Docket No. LIGHT1960

For: TUNABLE DISPERSION COMPENSATOR

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 205 September 2001 in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

205 September 2001

Signature

Tunabelle A. Meadow

Date

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated August 3, 2001.

Transmitted herewith is the executed combined Declaration/Power of Attorney document and THIRTY-TWO sheets of drawings with correct margins.

The Fee for this Response is calculated as follows:

| For | Number Filed | | Number Extra Claims | Large Entity Rate | Small Entity Rate | Calculations |
|--------------------|--------------|---|---------------------|-------------------|-------------------|--------------|
| Total Claims | 40 - 20 | = | 20 | x \$18.00 | x \$9.00 | \$180.00 |
| Independent Claims | 1 - 3 | = | 1 | x \$80.00 | x \$40.00 | \$40.00 |
| Basic Filing Fee | | | | \$710.00 | \$355.00 | \$355.00 |
| | | | | | TOTAL FEE | \$575.00 |

Applicant: Wenhua Lin
Serial No.: 09/872,473 - Filed: 06/01/01
Page 2

Enclosed in the amount of \$640.00; which consists of \$575.00 for the filing fee and \$65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Terrance A. Meador
TERRANCE A. MEADOR
Reg. No. 30, 298

Date 20 September 2001

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1600
San Diego, CA 92121-2189

Telephone: (858) 638-6747 Fax: (858) 677-1465



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/872,473 | 06/01/2001 | Wenhua Lin | LIGHT1960 |

25548
GRAY CARY WARE & FREIDENRICH
4365 EXECUTIVE DRIVE
SUITE 1600
SAN DIEGO, CA 92121-2189



CONFIRMATION NO. 9503
FORMALITIES LETTER



OC000000006380915

Date Mailed: 08/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/01/2001 FILING DATE 09/872,473

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$220.
 - \$180 for 20 total claims over 20.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 640.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

61

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE